



JOINT ACCOUNT APPLICATION FORM

Before completing this application form, please ensure that each joint account holder has obtained and read the information regarding the products and services provided by Saxo Capital Markets UK Ltd ("SCML") and all relevant terms and policies made available, and updated from time to time, on our website (www.saxomarkets.co.uk)

PLEASE COMPLETE THE FORM IN BLOCK LETTERS AND IN BLACK OR BLUE INK. ALL ITEMS WITH * MUST BE PROVIDED.

Once completed, this form should be sent to Saxo Capital Markets UK Ltd at the business address below or alternatively please email a scanned copy of the completed application to privatesalesuk@saxomarkets.com or fax the completed application to +44 (0) 2071512001

Saxo Capital Markets UK Ltd, 40 Bank Street, Canary Wharf, London E14 5DA, United Kingdom
Company registered in England & Wales No.: 7413871
Authorised and regulated by the Financial Conduct Authority



JOINT ACCOUNT APPLICATION FORM

ALL ITEMS WITH * MUST BE PROVIDED.

Part 1 | Personal Details (First Applicant)

NAME AS ON PASSPORT

*Forenames: First Name Other name(s)
*Surname:
*Gender: Male Female
*Date of Birth: DD / MM / YYYY

*Town/City of Birth:
*Country of Birth:
*Nationality:
Do you hold multiple nationality?
If so please list:

*IF YOU HAVE BEEN AT YOUR CURRENT ADDRESS FOR LESS THAN 3 YEARS, PLEASE ALSO PROVIDE YOUR PREVIOUS ADDRESS

*Current Residential address:
*Flat No./Building Name:
*House Name/No. & Street:
*Town/City:
County: *Postcode:
*Country:
*Which countries are you resident in for tax purposes?
(Please list all)

Introducing Broker name:

*Prevoius Residential address:
*Flat No./Building Name:
*House Name/No. & Street:
*Town/City:
County: *Postcode:
*Country:
*UK National Insurance No. or other Tax ID.:
If applicable
U.S. Tax Identification No.:

Part 2 | Contact Details (First Applicant)

*Primary Telephone No.: (Including country and area code)
Secondary Telephone No.: (Including country and area code)
*E-mail Address:

Part 3 | Sources of Funds & Employment Details (First Applicant)

*Please indicate the source(s) of your funds for trading
(select by ticking all that apply):

- Employment
- Pensions(s)
- Inheritance
- Self-employed business
- Savings & Investments only
- Other (Please state):

If you have indicated that a source of funds is 'Employment', please confirm your employer details. Please note that no reference will be sought.

*Employer Name:
*Nature of the Business:
*Job Title:

If you have indicated that a source of funds is 'Self-Employed', please confirm the business details.

*Business Name:
*Estimated Annual Turnover:
*Nature of the business:

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ALL ITEMS WITH * MUST BE PROVIDED.

Part 4 | Financial Details (First Applicant)

* Monthly Income after tax:

* Monthly outgoings (Living expenses, mortgage & other payments):

* Estimated value of all Savings & Investments (i.e excluding any property ownership value):

Part 5 | Financial Investment Knowledge & Experience (First Applicant)

*How long have you continuously traded financial products on an execution-only basis?

- Less than 1 year
 1 to 5 years
 More than 5 years

*Do you have any industry recognised qualifications for the type of trading that you intend to carry out with us?

- Yes
 No

*Do you work, or have you worked, in the financial sector for at least one year in a professional position which requires knowledge of the nature and risk involved in the type of trading that you intend to carry out with us?

- Yes
 No

*Please indicate how many trades you have undertaken in each of the following products on an execution-only basis during the past 12 months.

APPROXIMATE NON-MARGINED PRODUCTS NO. OF TRADES

Shares:

ETFs & ETCs:

Gilts & Bonds:

MARGINED PRODUCTS

FX Rolling Spot:

Options & Futures:

CFDs:

Financial Spread Bets:

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ALL ITEMS WITH * MUST BE PROVIDED.

Part 1 | Personal Details (Second Applicant)

NAME AS ON PASSPORT

*Forenames: First Name Other name(s)
*Surname:
*Gender: Male Female
*Date of Birth: DD / MM / YYYY

*Town/City of Birth:
*Country of Birth:
*Nationality:
Do you hold multiple nationality?
If so please list:

*IF YOU HAVE BEEN AT YOUR CURRENT ADDRESS FOR LESS THAN 3 YEARS, PLEASE ALSO PROVIDE YOUR PREVIOUS ADDRESS

*Current Residential address:
*Flat No./Building Name:
*House Name/No. & Street:

*Town/City:
County: *Postcode:
*Country:
*Which countries are you resident in for tax purposes?
(Please list all)

Introducing Broker name:

*Prevoius Residential address:
*Flat No./Building Name:
*House Name/No. & Street:

*Town/City:
County: *Postcode:
*Country:
*UK National Insurance No. or other Tax ID.:

If applicable
U.S. Tax Identification No.:

Part 2 | Contact Details (Second Applicant)

*Primary Telephone No.: (Including country and area code)
Secondary Telephone No.: (Including country and area code)
*E-mail Address:

Part 3 | Sources of Funds & Employment Details (Second Applicant)

*Please indicate the source(s) of your funds for trading
(select by ticking all that apply):

- Employment
 Pensions(s)
 Inheritance
 Self-employed business
 Savings & Investments only
 Other (Please state):

If you have indicated that a source of funds is 'Employment', please confirm your employer details. Please note that no reference will be sought.

*Employer Name:
*Nature of the Business:
*Job Title:

If you have indicated that a source of funds is 'Self-Employed', please confirm the business details.

*Business Name:
*Estimated Annual Turnover:
*Nature of the business:

JOINT ACCOUNT APPLICATION FORM

ALL ITEMS WITH * MUST BE PROVIDED.

Part 4 | Financial Details (Second Applicant)

* Monthly Income after tax:

* Monthly outgoings (Living expenses, mortgage & other payments):

* Estimated value of all Savings & Investments (i.e excluding any property ownership value):

Part 5 | Financial Investment Knowledge & Experience (Second Applicant)

*How long have you continuously traded financial products on an execution-only basis?

- Less than 1 year
 1 to 5 years
 More than 5 years

*Do you have any industry recognised qualifications for the type of trading that you intend to carry out with us?

- Yes
 No

*Do you work, or have you worked, in the financial sector for at least one year in a professional position which requires knowledge of the nature and risk involved in the type of trading that you intend to carry out with us?

- Yes
 No

*Please indicate how many trades you have undertaken in each of the following products on an execution-only basis during the past 12 months.

APPROXIMATE NON-MARGINED PRODUCTS NO. OF TRADES

Shares:

ETFs & ETCs:

Gilts & Bonds:

MARGINED PRODUCTS

FX Rolling Spot:

Options & Futures:

CFDs:

Financial Spread Bets:

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ALL ITEMS WITH * MUST BE PROVIDED.

Part 1 | Personal Details (Third Applicant – If more than 2 applicants)

NAME AS ON PASSPORT

*Forenames: First Name Other name(s)
*Surname: _____
*Gender: Male Female
*Date of Birth: DD / MM / YYYY

*Town/City of Birth: _____
*Country of Birth: _____
*Nationality: _____
Do you hold multiple nationality?
If so please list: _____

*IF YOU HAVE BEEN AT YOUR CURRENT ADDRESS FOR LESS THAN 3 YEARS, PLEASE ALSO PROVIDE YOUR PREVIOUS ADDRESS

*Current Residential address: _____
*Flat No./Building Name: _____
*House Name/No. & Street: _____
*Town/City: _____
County: _____ *Postcode: _____
*Country: _____
*Which countries are you resident in for tax purposes?
(Please list all)

*Previous Residential address: _____
*Flat No./Building Name: _____
*House Name/No. & Street: _____
*Town/City: _____
County: _____ *Postcode: _____
*Country: _____
*UK National Insurance No. or other Tax ID.: _____

Introducing Broker name: _____

If applicable
U.S. Tax Identification No.: _____

Part 2 | Contact Details (Third Applicant)

*Primary Telephone No.: (Including country and area code) _____
Secondary Telephone No.: (Including country and area code) _____
*E-mail Address: _____

Part 3 | Sources of Funds & Employment Details (Third Applicant)

*Please indicate the source(s) of your funds for trading
(select by ticking all that apply):

- Employment
- Pensions(s)
- Inheritance
- Self-employed business
- Savings & Investments only
- Other (Please state): _____

If you have indicated that a source of funds is 'Employment', please confirm your employer details. Please note that no reference will be sought.

*Employer Name: _____
*Nature of the Business: _____
*Job Title: _____

If you have indicated that a source of funds is 'Self-Employed', please confirm the business details.

*Business Name: _____
*Estimated Annual Turnover: _____
*Nature of the business: _____

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Part 4 | Financial Details (Third Applicant)

* Monthly Income after tax:

* Monthly outgoings (Living expenses, mortgage & other payments):

* Estimated value of all Savings & Investments (i.e excluding any property ownership value):

Part 5 | Financial Investment Knowledge & Experience (Third Applicant)

*How long have you continuously traded financial products on an execution-only basis?

- Less than 1 year
 1 to 5 years
 More than 5 years

*Do you have any industry recognised qualifications for the type of trading that you intend to carry out with us?

- Yes
 No

*Do you work, or have you worked, in the financial sector for at least one year in a professional position which requires knowledge of the nature and risk involved in the type of trading that you intend to carry out with us?

- Yes
 No

*Please indicate how many trades you have undertaken in each of the following products on an execution-only basis during the past 12 months.

APPROXIMATE NON-MARGINED PRODUCTS NO. OF TRADES

Shares:

ETFs & ETCs:

Gilts & Bonds:

MARGINED PRODUCTS

FX Rolling Spot:

Options & Futures:

CFDs:

Financial Spread Bets:

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Part 6 | Principal Contact Details for the Joint Account

*Primary Telephone No.: (Including country and area code)

Secondary Telephone No.: (Including country and area code)

*E-mail Address:

Part 7 | Currency of the Main Joint Account

*Please state the currency of the main account: (The default currency will be GBP if left blank)

Part 8 | Signature & Declarations

To comply with The Money Laundering Regulations, SCML is required to collect information about each applicant and to undertake checks on each applicant's identity and residential address, including accessing and using information held in database or other electronic format through other agencies. In carrying out electronic verification, other agencies may retain a record of the enquiry and information given to them. SCML may also request further supporting documentation from each applicant to verify these details. The information obtained may be disclosed to law enforcement agencies and other relevant organisations for crime detection and prevention purposes. SCML will add these personal data and details (including email details) to the database of Saxo Bank Group which include entities that are outside the EEA. A list of entities within the Group can be found on www.saxobank.com. SCML may exchange information or share the personal data of each applicant with the firm or person who introduced the applicants to us. SCML may occasionally contact the applicant(s) by email, telephone or post to give information about products and services offered that are similar or related to the product and services previously provided.

If the applicants do not consent to the use of their personal data in this way, we are unable to consider the application. By submitting the completed application form to us, the applicants jointly consent to the use of their information as indicated.

Declaration

We declare that:

- We have read and understood the nature and the risk of the product(s) that we intended to trade in this account;
- We act in our name as specified on this application form and not on behalf of another party in respect of all matters related to this joint account. Accordingly, all funds to be deposited and transacted on the account are our own funds;
- We are unaware of any health or other conditions, severally or jointly, that may affect the proper operation of the joint account;
- We have provided true, accurate and complete information and undertake to update SCML of any changes to the information provided without delay;
- We accept that in certain circumstances SCML will be obliged to share information with UK tax authorities, who may pass it on to other tax authorities.
- We have obtained from the website (<http://uk.saxomarkets.com>), read and understood the following:
 - a) The General Business Terms (including product risks disclosure detailed in Schedule 1 to these General Business Terms),
 - b) Order Execution Policy,
 - c) Conflict of Interest Policy,
 - d) Commission, Charges & Margin Schedule
- We consent to the Order Execution Policy and for any orders to be executed outside a regulated market or a multilateral trading facility;
- We consent for any of unexecuted limit orders not to be made public; and
- We accept and agree to be bound by the terms provided above and consent to such terms and information including future updates to these be provided to us by way of posting on the website indicated above.
- We hereby authorise SCML to disclose information about our account(s) with SCML to the Introducing Broker. We thus understand and agree that SCML is allowed to reveal all information about our account(s) at SCML to the Introducing Broker and thus, for instance, send copies of any and all transaction notes, account statements etc. to the Introducing Broker. Furthermore SCML is allowed to grant to the Introducing Broker a viewing access to our account(s) with SCML which entails that the Introducing Broker by separate login will access to view any and all details on our account(s) with SCML.

*Signature:

*Date:

*Name:

1st Applicant

2nd Applicant

3rd Applicant

